

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**T8466293US**

In Re Application Of: **Steven Spicer et al**

Serial No.  
**09/926,438**

Filing Date  
**01/18/2002**

Examiner  
**-**

Group Art Unit  
**2151**

Title: **NETWORK RESOURCE CONTROL SYSTEM**

**Received**

**JUN 17 2002**

**Technology Center 2100**

Address to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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**NETWORK RESOURCE CONTROL SYSTEM**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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Dated: 12 June 2002

Robert Graham  
Reg. No. 43,430

**Received**  
**JUN 17 2002**  
Technology Center 2100

CC:

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

T8466293US

Application Number

09/926,438

Applicant(s)

Steven Spicer et al

Filing Date

01/18/2002

Group Art Unit

2151

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,987,611	Nov. 16/99	Freund	713	201	
		6,360,252	Mar. 19/02	Rudy	709	206	

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO 99/22294	May 6/99	International Application				✓

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Sitao W et al: "Using Device Driver Software in Scada Systems" 2000 IEEE Power Engineering Society. Winter Meeting. Conference Proceedings. Singapore, Jan. 23-27, 2000, IEEE Power Engineering Society Winter Meeting, New York, NY: IEEE, US, vol. 3 of 4, 23 January 2000 (2000-01-23), pages 2046-2049, XP000967795 ISBN: 0-7803-5936-4, page 2046, right-hand column, paragraph 3, page 2047, right-hand column, paragraph 1.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.